RJP/TMH/AC:kam 11/21/03 229656 Attorney Reference Number 1505-67088 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re a | pplication of: Wagner et al.                                 |
|---------|--|
| Applic  | ation No.  |
| Filed:  | Herewith   |
| Confir  | mation No.   |
| For:    | GENES REGULATING CIRCADIAN CLOCK FUNCTION AND PHOTOPERIODISM |
| Exami   | ner:   |
| Art Uı  | nit:   |
| Attorn  | ey Reference No. 1505-67088                                  |

MAIL STOP PATENT APPLICATION COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

# INFORMATION DISCLOSURE STATEMENT FOR CONTINUING APPLICATIONS

Listed on the accompanying forms PTO-1449 are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

The present application relies upon U.S. Patent Application No. 09/746,801, which was filed December 20, 2000, which is a continuation-in-part of Application No. 09/513,057, filed February 24, 2000, now Patent No. 6,433,251, which is a continuation-in-part of International Application No. PCT/US99/18747, filed August 17, 1999, which claims the benefit of Provisional Application No. 60/096,802, filed August 17, 1998, for an earlier filing date under 35 U.S.C. §120. Furthermore, documents listed on the accompanying form PTO-1449 were disclosed to or cited by the Patent Office in the earlier U.S. application.

Copies of the documents listed on the accompanying form PTO-1449 that were cited by applicants in an earlier application need not be sent to the Patent Office pursuant to 37 C.F.R. §1.98. However, applicants will furnish the Patent Office with copies upon request.

The following documents listed on the accompanying form PTO-1449 were cited by the Patent Office in U.S. Patent Application No. 09/746,801: US 5,563,032; Carré, "ELF3: a circadian safeguard to buffer effects of light," *Plant Science* 7(1):4-6, 2002; Covington *et al.*,

RJP/TMH/AC:kam 11/21/03 229656 Attorney Reference Number 1505-67088 PATENT

"ELF3 modulates resetting of the circadian clock in Arabidopsis," *Plant Cell* 13:1305-1315, 2001; **GardenWeb Glossary of Botanical Terms**, at glossary.gardenweb.com/glossary/, accessed January 6, 2003; **Hicks** *et al.*, "Early flowering3 encodes a novel protein that regulates circadian clock function and flowering in Arabidopsis," *The Plant Cell* 13:1281-1292, 2001; **Hill** *et al.*, "Functional analysis of conserved histidines in ADP-glucose pyrophosphorylase from *Escherichia coli*," *Biochemical and Biophysical* 244:573-577, 1998; **Lazar** *et al.*, "Transforming growth factor α: mutation of aspartic acid 47 and leucine 48 results in different biological activities," *Molecular and Cellular Biology* 8:1247-1252, 1988; **Town** *et al.*, Accession No. BH456629, 12/12/2001. Copies of these documents are enclosed.

The following documents listed on the accompanying form PTO-1449 were cited by the Patent Office in U.S. Patent Application No. 09/513,057 (now Patent No. 6,433,251), which was filed on February 24, 2000: Chen et al., "Minimal regions in the Arabidopsis Pistillata promoter responsive to the Apetala3/pistillata feedback control do not contain a CArG box," Sex Plant Reprod., pp. 85-94, 2000; Donald et al., "Mutation of either G box or I box sequences profoundly affects expression from the Arabidopsis rbcS-1A promoter," The EMBO Journal 9(6):1717-1726, 1990; Tymms et al., "A novel epithelial-expressed ETS gene, ELF3: human and murine cDNA sequences, murine genomic organization, human mapping to 1q32.2 and expression in tissues and cancer," Oncogene 15:2449-2462, 1997; and Rounsley et al., GenBank Accession No. B28787, 1997. Copies of these documents are enclosed.

The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

KLAROUIST SPARMAN, LZP

By

Panya M. Harding, Ph.D. Registration No. 42,630

One World Trade Center, Suite 1600 121 S.W. Salmon Street Portland, Oregon 97204 Telephone: (503) 226-7391

Facsimile: (503) 228-9446

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| Attorney D cket Number | 1505-67088   |
|------------------------|--------------|
| Application Number     | Not assigned |
| Filing Date            | Herewith     |
| First Named Inventor   | Wagner       |
| Art Unit               | Not assigned |
| Examiner Name          | Not assigned |

### **U.S. PATENT DOCUMENTS**

| Examiner's Initials* | Cite No.<br>(optional) | Number      | Date    | Name            |
|----------------------|------------------------|-------------|---------|-----------------|
|                      | -                      | 4,990,607 A | 2/1991  | Katagiri et al. |
|                      |                        | 5,563,032 A | 10/1996 | Fields et al.   |
|                      |                        | 5,811,536 A | 9/1998  | Yanofsky        |
|                      |                        | 6,002,069 A | 12/1999 | Yanofsky        |
|                      |                        |             |         |                 |

### **FOREIGN PATENT DOCUMENTS**

| Examiner's<br>Initials*   | Cite No.<br>(optional)  | Number   | Date   | Country |  |
|---|---|--|--------|---------|--|
|   |   | WO 00/09658  | 2/2000 | WO      |  |
| Examiner's  | Cite No.  |  |        |         |  |
| Initials*   | (optional)  | OTHER DAY INCIDENTS  |        |         |  |
|   |   |  |        |         |  |
|   | Chen et al., "Minimal regions in the Arabidopsis Pistillata promoter responsive to Apetala3/pistillata feedback control do not contain a CArG box," Sex Plant Repropp. 85-94, 2000. |  |        |         |  |
| Covington et al., "ELF3 modulates resetting of the circadian clock in Arabic Cell 13:1305-1315, 2001. |   |  |        | • ,     |  |
|   |   | Donald et al., "Mutation of either G box or I box sequences profoundly affects expression from the Arabidopsis rbcS-1A promoter," The EMBO Journal 9(6):1717-1726, 1990. |        |         |  |
|   | GardenWeb Glossary of Botanical Terms, at glossary.gardenweb.com/glossary/, ac January 6, 2003.   |  |        |         |  |

| DATE<br>CONSIDERED: |
|---------------------|
|                     |

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

|        |   | Attorney Docket Number   | 1505-67088  |
|--------|---|--|---|
|        |   | Application Number   | Not assigned  |
| INFORM | MATION DISCLOSURE STATEMENT   | Filing Date  | Herewith  |
|        | BY APPLICANT  | First Named Inventor   | Wagner  |
|        |   | Art Unit   | Not assigned  |
|        |   | Examiner Name  | Not assigned  |
|        | Tymms et al., "A novel epithelial-ex sequences, murine genomic organizatissues and cancer," Oncogene 15:24  Wang and Tobin, "Constitutive Exproposition (CCA1) Gene Disrupts Circadian Rh 93:1207-1217, 1998.  Weigel et al., "LEAFY Controls Flo 859, 1992.  Zagotta et al., "The Arabidopsis ELI the photoperiodic induction of flower Zagotta et al., "Early-flowering Mut | ression of the <i>CIRCADIAN</i> or sythms and Suppresses Its Or ral Meristem Identity in Araba gene regulates vegetative ring," <i>Plant J.</i> 10(4):691-70 | 32.2 and expression in  CLOCK ASSOCIATED 1  own Expression," Cell,  abidopsis," Cell 69:843-  photomorphogenesis and  22, 1996. |
|        | Zagotta et al., "Early-flowering Mutants of Arabidopsis thaliana," Aust. J. Plant Physiol., 19:411-418, 1992.   |  |   |

| EXAMINER   | DATE        |
|------------|-------------|
| SIGNATURE: | CONSIDERED: |
| ordining.  | CONSIDERED. |

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

|   | Attorney Docket Number   | 1505-67088   |  |
|---|--|--|--|
|   | Application Number   | Not assigned                                       |  |
| INFORMATION DISCLOSURE STATEMENT  | Filing Date  | Herewith   |  |
| BY APPLICANT  | First Named Inventor   | Wagner   |  |
|   | Art Unit   | Not assigned                                       |  |
|   | Examiner Name  | Not assigned                                       |  |
| Foden-Vencil, "Oregon research tear Science section, 1992.                            | •  | • •  |  |
| "UO Molecular Biologist Studying ( Technology Institute, University of (              |  | ver," Advance Science &                            |  |
| Hicks et al., "Arabidopsis early-flow the vegetative-to-floral transition," C         | ering mutants reveal multip  |  |  |
| Hicks et al., "Early flowering3 encode function and flowering in Arabidops            | is," The Plant Cell 13:1281-   | -1292, 2001.                                       |  |
| Mutant," Science, 274:790-792, 1996   | Hicks et al., "Conditional Circadian Dysfunction of the Arabidopsis early-flowering 3 Mutant," Science, 274:790-792, 1996. |  |  |
| Hill et al., "Functional analysis of copyrophosphorylase from Escherichia 1998.       |  |  |  |
| Lazar et al., "Transforming growth f results in different biological activitien 1988. | actor α: mutation of aspartices," <i>Molecular and Cellular</i>  | e acid 47 and leucine 48<br>• Biology 8:1247-1252, |  |
| Newman et al., 21244 CD4-14 Araba<br>Accession # N96569, 1998.                        | idopsis thaliana cDNA clon   | e F5H5T3, GenBank                                  |  |
| Puzio et al., "A New Nematode Resp<br>SPTREML-11, O04419, 1997.                       | oonsible Gene in Arabidopsi  | s Thaliana," Database                              |  |
| Puzio et al., "Isolation of a gene from structures," Gene, 239:163-175, 1999          |  | ed to nematode feeding                             |  |
| Puzio et al., Nematode Responsive P   | rotein, EMBL Accession N   | o. Y11994, 1997.                                   |  |
| Puzio et al., Database Genebank, Acc  | cession number O04419, 19  | 97.  |  |
| Rounsley et al., GenBank Accession  | ŕ  |  |  |
| Schaffer et al., "The late elongated he<br>Rhythms and the Photoperiodic Conf         | trol of Flowering," Cell 93:1  | 1219-1229, 1998.                                   |  |
| Shannon et al., "A Mutation in the A Meristem Development," The Plant                 | Cell 3:877-892, 1991.  | cts Inflorescence                                  |  |
| Town et al., Accession No. BH45662  | 29, 12/12/2001.  |  |  |

| EXAMINER DATE CONSIDERED: | EXAMINER<br>SIGNATURE: | • |
|---------------------------|------------------------|---|
|---------------------------|------------------------|---|

<sup>\*</sup> Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.